TITLE:	Standards for Initial Appointment and Continuing Employment of Faculty
AUTHOR:	Faculty Senate
<b>APPROVAL DATE:</b>	May 7, 2021
EFFECTIVE DATE:	May 7, 2021
PURPOSE:	To establish standards identifying the types of faculty appointments and to set guidelines for the retention and continued employment of faculty
SEE ALSO:	IHL policies 402-407
REVIEWER AND REVIEW DATE:	CAO Fall 2026 and every five years thereafter

## **OPERATING DETAILS:**

- 1. Two employment tracks are recognized for teaching faculty. One track is designated non-tenure; the other, tenure track. The track identified for each faculty member will be designated in their contract.
- 2. Tenure track positions will be identified at the time a position is advertised and will include appointment at the rank of assistant, associate or full professor.
- 3. Part-time and temporary positions will be non-tenure track.
- 4. Vacancies for permanent positions at the instructor rank will be non-tenure track positions and persons hired to fill these vacancies shall be so notified when first employed.
- 5. At the discretion of the appropriate administrators, faculty positions may be identified as non-tenure track at the time they are advertised, even though they may be filled at the assistant professor rank.
- 6. Faculty members holding the rank of instructor shall be employed on one-year contracts renewable at the discretion of the institution. Full-time employees in one of the professional ranks may be granted continuing employment under the provisions outlined below.
- 7. An instructor in a non-tenure track position may be eligible for promotion to assistant professor and assignment to a tenure-track position provided they meet appropriate criteria established at the time of initial appointment.
- 8. Beginning with a tenure-track appointment the probationary period shall be six academic years, three of which may have been met at the rank of instructor. Upon written agreement between the institution and the faculty member, credit up to a maximum of four (4) years toward fulfillment of the minimum probationary period may be allowed for service at one or more other institutions of higher education. Such allowance is to be granted only to an individual who possesses exceptional professional qualifications and achievements and is not to be construed as

exempting said individual from any other institutional policies and procedures governing the awarding of tenure. Upon reappointment after the conclusion of the probationary period, a professor of any rank shall have continuing employment only when so informed in writing by the head of the institution.

- 9. Except under unusual circumstances described in item 8, to obtain tenure, a professor must have been employed continuously at the University for six years prior to tenure being awarded. The procedures for the awarding of tenure are described in P.S. #1304. Professors will be notified by the end of the sixth year if the President has approved the recommendation for tenure. The next year's contract will reflect the awarding of tenure and the terms of continuous employment. If tenure is not to be awarded, the professor will be given employment for one more year to allow them to search for another position.
- 10. Teaching faculty may be appointed to graduate faculty or to associate graduate faculty.
  - a) Faculty recommended for appointment to the graduate faculty must hold an earned doctorate or have special competence in an applied field in which the member will serve (teach), e.g., art, music, accounting; and demonstrate satisfactory evidence of scholarly achievement, e.g., publications, research, creative accomplishments, participation on regional or national programs within the member's subject field of study, and successful classroom teaching. The Dean will make a recommendation for appointment to the graduate faculty to the Director of Graduate Studies. This recommendation must include evidence of need for members on the graduate faculty. The Director of Graduate Studies will then make a recommendation to the Graduate Council, which must approve the appointment.
  - b) Faculty recommended for appointment to the associate graduate faculty must be members of the MUW faculty who have completed a minimum of 30 semester hours beyond the master's degree or who hold the master's degree and have special competence in a specific field may be approved to teach specific courses in their field of specialization. The Dean or the Chief Academic Officer, as appropriate, will make the recommendation for appointment to the associate graduate faculty to the Director of Graduate Studies. The Director of Graduate Studies will then make a recommendation to the Graduate Council, which must approve the appointment.
- 11. All faculty are subject to an annual evaluation, as described in Policy Statement 1314.
- 12. If a faculty member receives three unsatisfactory annual reviews over a four-year period the procedures in Policy Statement 1312 will be followed.
- 13. If a probationary faculty member (i.e., tenure-track faculty) receives an unsatisfactory annual review the department chair will confer with the faculty member to find ways to remediate any areas of weakness and to enhance performance.
- 14. If a probationary employee has two consecutive unsatisfactory annual reviews the department chair will confer with the dean to detail a plan for how best the faculty member can improve in identified areas of weakness. A copy of the plan of improvement and the method of evaluation will be filed with the Chief Academic Officer (CAO).

- 15. Options for a plan of improvement may include but are not limited to, close evaluation of specified weak areas with a specified schedule for improvement and updating relevant skills with satisfactory improvement criteria clearly stated. If the faculty member objects to the plan in part or in full, they may appeal to the CAO who may affirm or alter the plan.
- 16. After any two unsatisfactory annual reviews, the probationary faculty member will be informed that a rating of satisfactory or higher in the next annual review will result in continuation of employment, and a rating of unsatisfactory in the next annual review my result in termination at the discretion of the chair, dean, and CAO.
- 17. Review of faculty members not eligible for tenure and adjunct faculty members are at the sole discretion of department chairs. Department chairs shall have significant input into the re-hire of these faculty members.
- 18. As specified by the Board of Trustees, termination of service of a person with continuing employment will be made only under such extraordinary circumstances as follows:
  - a) Financial exigencies as declared by the Board of Trustees;
  - b) Termination or reduction of programs, academic or administrative units as approved by the Board of Trustees;
  - c) Malfeasance, inefficiency or contumacious conduct;
  - d) For cause.
- 19. Termination for cause of a continuous appointment or the dismissal for cause of a faculty member previous to the expiration of a term appointment shall not be recommended by the executive officer of the institution without submitting the recommendation of the tenure committee. If requested, the administration of the institution shall arrange for a hearing. In all cases the accused faculty member, at least one month before the hearing, shall be informed in writing of the charges against them and shall have the opportunity to be heard in their own defense. They shall be permitted to have with them an advisor of their own choosing who may act as counsel. If a faculty member so desires, there shall be a full stenographic record of the hearing available to the parties concerned.
- 20. Faculty on continuous appointment who are dismissed because of financial exigencies or the termination or reduction of programs shall be employed for a minimum of one full year from the date of notification.
- 21. Faculty on continuous appointment who are dismissed for reasons of malfeasance, inefficiency, or contumacious conduct or for cause shall have their contracts terminated at any time; however, at the discretion of the institution and the Board of Trustees, any faculty member's salary may be paid, and they may be relieved of teaching duties, appointment, and privileges when they are dismissed for any reason stated in item 16.
- 22. A probationary faculty member above the rank of instructor who is not to be reappointed shall be given notice of dismissal not later than three months before the expiration of their appointment in the first year of service; not later than six months

before the expiration of their appointment in the second year of service; and not later than twelve months before the expiration of their appointment after two or more years of service. Probationary faculty members dismissed for reasons

- (a) or (b) as stated in item 16 shall be given a thirty (30) day notice. Probationary faculty members dismissed for reason (c) or (d) as stated in item 16 shall have their contracts terminated at any time.
- 23. When a faculty member is to be terminated, they should receive the notice of termination at the earliest possible moment but not later than the end of the current contract year.
- 24. Resignation should be tendered at least three months prior to the expiration date of the then current contract year.
- 25. Faculty members who feel they are being unjustly terminated may appeal this action to the Faculty Appeals Committee which will, upon request, perform the following duties:
  - a) Review cases of non-renewal of contracts for tenure track employment
  - b) Review cases of the dismissal of tenure track employees
  - c) Review cases of dismissal or termination of tenured faculty
  - d) Recommend appropriate action for each case to the CAO.

Reviewed: 10/19/10, 10/2015 Revised: 11/1983, 1/11/2010, 5/7/2021